



(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zheng et al.

Application No.: 10/767,298

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Filed: January 28, 2004

For: METHODS FOR FORMING SMALL-

SCALE CAPACITOR STRUCTURES

Confirmation No.: 5002

Art Unit: 2824

Examiner: M. K. Luhrs

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each Non-U.S. Patent and each Non-Patent Literature article listed on the PTO/SB/08 is attached. A copy of the U.S. Patents listed on the PTO/SB/08 has not been provided. A full translation of the non-English language references marked by a check mark  $(\sqrt{})$  is enclosed. An abstract is enclosed for all other non-English language references. Applicant has not verified that the translation or abstract is an accurate

Application No.: 10/767,298 Docket No.: 108298714US

representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art"

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

for this invention unless specifically designated as such.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0665, under Order No. 108298714US.

Dated: April 7, 2005

Respectfully submitted,

Tim R. Seeley

Registration No.: 53,575

PERKINS COIE LLP

P.O. Box 1247

Seattle, Washington 98111-1247

(206) 359-8000

(206) 359-7198 (Fax)

**Attorney for Applicant** 



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	(Use as many	she ets as nec	essary)	Examiner Name	Michael K. Luhrs	
Sheet	1	of	13	Attorney Docket Number	108298714US	

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S	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Lingyi A. Zheng	
				Art Unit	2824	
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				Art Unit	2824	
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				Art Unit	2824	
	(Use as many	/ she ets as n	ecess ary)	Examiner Name	Michael K. Luhrs	
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				Art Unit	2824	
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				Art Unit	2824
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	Art Unit	2824
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13

8

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Sheet

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WO-00/40772-A1	07-13-2000	Genus, Inc.		
JP-11-172438-A	06-29-1999	Samsung Electron Co. Ltd.		1
JP-8-34678-A	02-06-1996	Matsushita Electric Works Ltd.		1
JP-6-151558-A	05-31-1994	Tokyo Electron Ltd.		1
JP-6-342785-A	12-13-1994	Fujitsu Ltd.		1
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JP-2001-254181-A	09-18-2002	Tokyo Electron Ltd.		1
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S	TATEMENT I	BY A	APPLICANT	First Named Inventor	Lingyi A. Zheng	
				Art Unit	2824	
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